

Koszul algebras and MacMahon's Master Theorem

“Noncommutative Algebraic Geometry”, Shanghai 09/20/2006

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- **MacMahon's "Master Theorem"**: statement, some background, references, ...



Overview

- **MacMahon's "Master Theorem"**: statement, some background, references, ...
- **Koszul algebras**: a quick introduction



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- **Koszul algebras**: a quick introduction
- Application: a new proof of the **quantum Master Theorem**



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- **Koszul algebras**: a quick introduction
- Application: a new proof of the **quantum Master Theorem**
- Recent developments



Objective

I will explain a **new algebraic approach**, obtained jointly with **Phùng Hô Hai** (Univ. of Duisburg-Essen and Inst. of Math., Hanoi), to the

"quantum MacMahon Master Theorem" (qMMT)

of Garoufalidis, Lê and Zeilberger

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Our manuscript has been submitted to the LMS

preprint arXiv: math.QA/0603169



Objective

Doron Zeilberger

Rutgers University



Objective

The precise formulation of qMMT will be given later

– according to [GLZ], qMMT is *"a key ingredient in a finite non-commutative formula for the colored Jones polynomial of a knot"* –

but here is the original MMT . . .



Objective

MacMahon's Master Theorem (original version, 1917):

Given a matrix $A = (a_{ij})_{n \times n}$ over some commutative ring R and commuting indeterminates x_1, \dots, x_n over R . For each $(m_1, \dots, m_n) \in \mathbb{Z}_{\geq 0}^n$, the R -**coefficient** of $x_1^{m_1} x_2^{m_2} \dots x_n^{m_n}$ in

$$\left(\sum_{j=1}^n a_{1j} x_j \right)^{m_1} \left(\sum_{j=1}^n a_{2j} x_j \right)^{m_2} \dots \left(\sum_{j=1}^n a_{nj} x_j \right)^{m_n}$$

is identical to the corresponding **coefficient** in

$$\det \left(1_{n \times n} - A \begin{pmatrix} x_1 & & \\ & \ddots & \\ & & x_n \end{pmatrix} \right)^{-1}$$



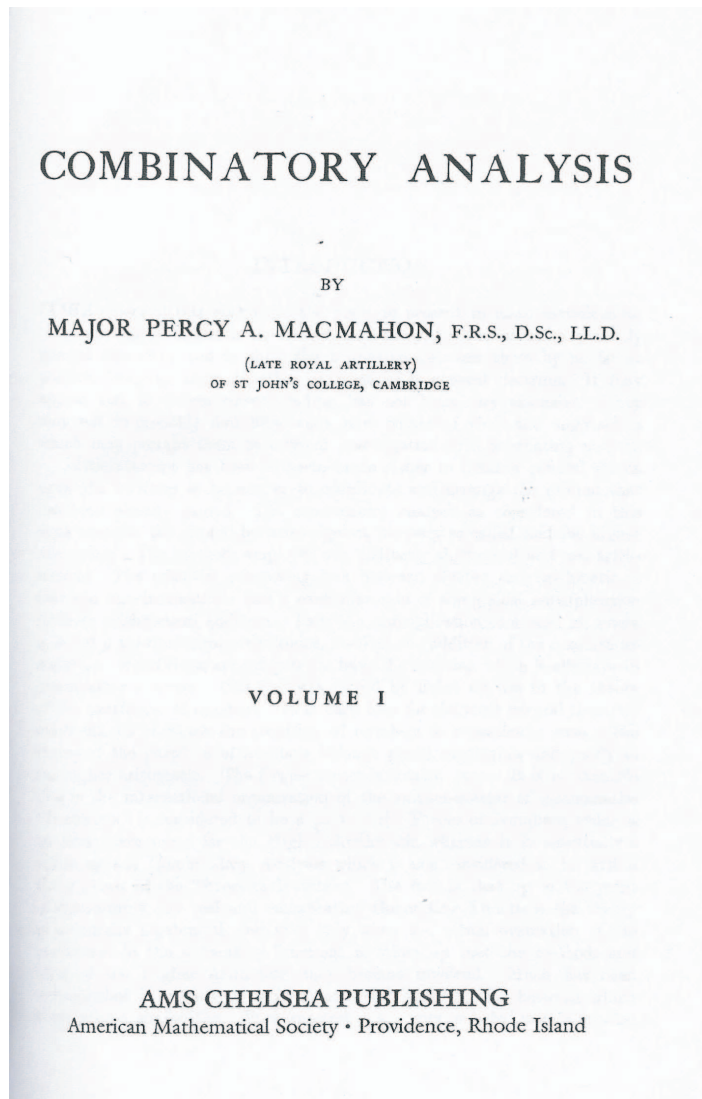
Background

Percy Alexander MacMahon

1854 - 1929

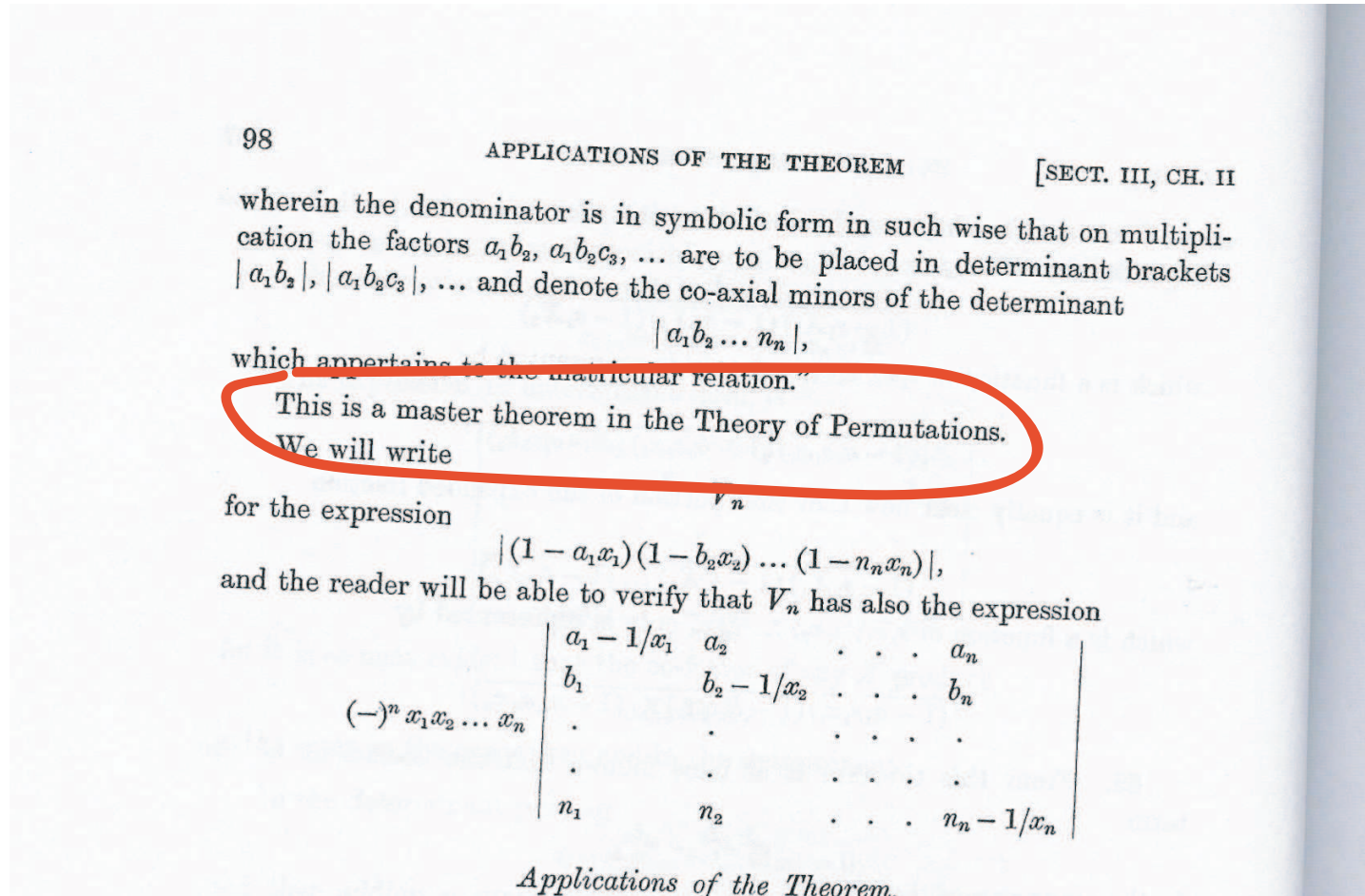


Title page of MacMahon's book
containing the "Master Theorem"
(originally published at Cambridge, 1917)



Background

... and here is where the name comes from:



Background

Some later proofs:

- I. J. Good, *A short proof of MacMahon's 'Master Theorem'*, Math. Proc. Cambridge Philos. Soc. **58** (1960), 160
 - ↪ **analysis**: contour integration
- Chu Wenchang, *Determinant, permanent, and MacMahon's Master Theorem*, Lin. Alg. and Appl. **255** (1997), 171–183
 - ↪ **combinatorics**: cycle-generating functions, binomial identities
- I-C. Huang, *Applications of residues to combinatorial identities*, Proc. Amer. Math. Soc. **125** (1997), 1011–1017
 - ↪ **algebra**: Grothendieck duality



Background

Andrews' Problem:

(from George E. Andrews, *Problems and prospects for basic hypergeometric functions*, In: *Theory and application of special functions*, Academic Press, New York, 1975, pp. 191–224.)

5. MacMahon's Master Theorem and the Dyson Conjecture.

PROBLEM 5. Are there q -analogs of MacMahon's Master Theorem and the Dyson Conjecture?

First let us recall:

MacMahon's Master Theorem (MacMahon (1894), (1915)). The coefficient of $X_1^{P_1} X_2^{P_2} \dots X_n^{P_n}$ in



Background

GLZ proved their qMMT in response to this problem.

The GLZ-quantization is **not** the first non-commutative version of MMT – Foata proved one as early as 1965 –

GLZ claim their quantization to be **natural**



Background

This talk is to support this claim



Reformulation of MMT

Recall: $A = (a_{ij}) \in \text{Mat}_{n \times n}(R)$
 x_1, \dots, x_n commuting indeterminates over R

For each $\mathbf{m} = (m_1, \dots, m_n) \in \mathbb{Z}_{\geq 0}^n$, let the **R -coefficient** of $\mathbf{x}^{\mathbf{m}} = x_1^{m_1} x_2^{m_2} \dots x_n^{m_n}$ in $\prod_{i=1}^n \left(\sum_{j=1}^n a_{ij} x_j \right)^{m_i} \in R[x_1, \dots, x_n]$ be denoted by **$c_A(\mathbf{m})$**

$$\mathbf{MMT}: \quad 1 = \det \left(1_{n \times n} - A \begin{pmatrix} x_1 & & \\ & \ddots & \\ & & x_n \end{pmatrix} \right) \cdot \sum_{\mathbf{m}} c_A(\mathbf{m}) \mathbf{x}^{\mathbf{m}}$$

This is an identity in $R[[x_1, \dots, x_n]]$



Reformulation of MMT

$$\mathbf{MMT}: \quad 1 = \det \left(1_{n \times n} - A \begin{pmatrix} x_1 & & \\ & \ddots & \\ & & x_n \end{pmatrix} \right) \cdot \sum_{\mathbf{m}} c_A(\mathbf{m}) \mathbf{x}^{\mathbf{m}}$$

all $x_i \mapsto t$ \Downarrow \Uparrow choose A "generic"

$$\mathbf{MMT}': \quad 1 = \det(1_{n \times n} - At) \cdot \sum_{d=0}^{\infty} \left(\sum_{|\mathbf{m}|=d} c_A(\mathbf{m}) \right) t^d$$

$$= \sum_{d=0}^n \text{trace}(\wedge^d A) (-t)^d$$

$$= \text{trace}(S^d A)$$



Reformulation of MMT

To summarize, we have the following modern interpretation of MMT:

MMT



$$1 = \left(\sum_{d=0}^n \text{trace}(\Lambda^d A) (-t)^d \right) \cdot \left(\sum_{d=0}^{\infty} \text{trace}(S^d A) t^d \right)$$

All this is a well-known



Next: Koszul algebras

my main reference: **Yu. I. Manin**, *Quantum groups and noncommutative geometry*,
Université de Montréal Centre de Recherches Mathématiques,
Montreal, QC, 1988.



Quadratic algebras

Def: A **quadratic algebra** is a factor of the tensor algebra $T(V)$ of some finite-dimensional \mathbb{k} -vector space V modulo quadratic relations:

$$\mathcal{A} \cong T(V) / (R), \quad R \subseteq T(V)_2 = V^{\otimes 2}$$



Quadratic algebras

Def: A **quadratic algebra** is a factor of the tensor algebra $T(V)$ of some finite-dimensional \mathbb{k} -vector space V modulo quadratic relations:

$$\mathcal{A} \cong T(V)/(R), \quad R \subseteq T(V)_2 = V^{\otimes 2}$$

The natural grading of $T(V)$ descends to a **grading** of \mathcal{A} :

$$\mathcal{A} = \bigoplus_{d \geq 0} \mathcal{A}_d \text{ with } \mathcal{A}_0 = \mathbb{k}, \mathcal{A}_1 \cong V$$



Quadratic algebras

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Notation:

- $\mathcal{A} = A(V, R)$
- $\tilde{x}_1, \dots, \tilde{x}_n$ will be a \mathbb{k} -basis of V
 $\rightsquigarrow T(V) = \mathbb{k}\langle \tilde{x}_1, \dots, \tilde{x}_n \rangle$, the free algebra
 $x_i := \tilde{x}_i \pmod R$, algebra generators for \mathcal{A}



Quadratic algebras

Example: Quantum affine n -space

For fixed scalars $0 \neq q_{ij} \in \mathbb{k}$ ($1 \leq i < j \leq n$), define

$$A_{\mathbf{q}}^{n|0} := \mathbb{k}\langle \tilde{x}_1, \dots, \tilde{x}_n \rangle / (\tilde{x}_j \tilde{x}_i - q_{ij} \tilde{x}_i \tilde{x}_j \mid 1 \leq i < j \leq n)$$

So $A_{\mathbf{q}}^{n|0}$ is generated by x_1, \dots, x_n subject to the relations

$$x_j x_i = q_{ij} x_i x_j \quad \text{for } i < j.$$



Quadratic dual

Def: The **quadratic dual** of $\mathcal{A} = A(V, R)$ is defined by

$$\mathcal{A}^! = A(V^*, R^\perp)$$

with $R^\perp = \{f \in (V^{\otimes 2})^* \cong (V^*)^{\otimes 2} \mid f(R) = 0\}$



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Notation: $\tilde{x}_1, \dots, \tilde{x}_n$ a \mathbb{k} -basis of V , as before
 $\tilde{x}^1, \dots, \tilde{x}^n$ is the dual basis of V^*
 \rightsquigarrow generators $x^i = \tilde{x}^i \pmod{R^\perp}$ for $\mathcal{A}^!$



Example: Quantum exterior algebra

The dual of quantum space $A_{\mathbf{q}}^{n|0}$ is denoted by $A_{\mathbf{q}}^{0|n}$

The procedure described yields algebra generators x^1, \dots, x^n for $A_{\mathbf{q}}^{0|n}$ satisfying the defining relations

$$x^\ell x^\ell = 0 \quad \text{for all } \ell$$

and

$$x^k x^\ell + q_{k\ell} x^\ell x^k = 0 \quad \text{for } k < \ell$$



The category $\text{QAlg}_{\mathbb{k}}$

objects: quadratic algebras/ \mathbb{k}
morphisms: graded algebra maps



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Some further **operations on** $\text{QAlg}_{\mathbb{k}}$:

- ordinary tensor product $\mathcal{A} \otimes \mathcal{B}$
- Segre product $\mathcal{A} \circ \mathcal{B} = \bigoplus_n \mathcal{A}_n \otimes \mathcal{B}_n$
- for $\mathcal{A} = A(V, R)$ and $\mathcal{B} = A(W, S)$, one has

$$\mathcal{A} \bullet \mathcal{B} = A(V \otimes W, \sigma_{23}(R \otimes S))$$

with $\sigma_{23}: V^{\otimes 2} \otimes W^{\otimes 2} \rightarrow (V \otimes W)^{\otimes 2}$ the (2, 3)-switch



The category $\text{QAlg}_{\mathbb{k}}$

objects: quadratic algebras/ \mathbb{k}
morphisms: graded algebra maps

$\text{QAlg}_{\mathbb{k}}^{\text{op}}$ is the category of "**quantum linear spaces**" / \mathbb{k}

Analogies:

- $\overset{!}{\leftarrow\rightarrow}$ ○ tensor product of quantum spaces
- ⊗ direct sum of quantum spaces
- ! dualization plus parity change



The bialgebra $\underline{\text{end}} \mathcal{A}$

Def: For a given quadratic algebra $\mathcal{A} = A(V, R)$, Manin defines

$$\underline{\text{end}} \mathcal{A} = \mathcal{A}' \bullet \mathcal{A}$$

So $\underline{\text{end}} \mathcal{A} = A(V^* \otimes V, \sigma_{23}(R^\perp \otimes R))$



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Notation: \tilde{x}_i, \tilde{x}^j dual bases for V and V^* as before
 $\rightsquigarrow \tilde{z}_i^j = \tilde{x}^j \otimes \tilde{x}_i$ a basis of $V^* \otimes V$
 $\rightsquigarrow z_i^j = \tilde{z}_i^j \pmod{R(\underline{\text{end}} \mathcal{A})}$ generate $\underline{\text{end}} \mathcal{A}$



The bialgebra $\underline{\text{end}} \mathcal{A}$

Properties:

- $\underline{\text{end}} \mathcal{A}$ is a **bialgebra** over \mathbb{k} , with comultiplication

$$\Delta: \underline{\text{end}} \mathcal{A} \rightarrow \underline{\text{end}} \mathcal{A} \otimes \underline{\text{end}} \mathcal{A}, \quad \Delta(z_i^j) = \sum_l z_i^l \otimes z_l^j$$

and counit

$$\epsilon: \underline{\text{end}} \mathcal{A} \rightarrow \mathbb{k}, \quad \epsilon(z_i^j) = \delta_{i,j}$$

- \mathcal{A} is a left $\underline{\text{end}} \mathcal{A}$ -**comodule algebra**; the coaction is

$$\delta_{\mathcal{A}}: \mathcal{A} \rightarrow \underline{\text{end}} \mathcal{A} \otimes \mathcal{A}, \quad \delta_{\mathcal{A}}(x_i) = \sum_j z_i^j \otimes x_j,$$



Example: Right quantum matrices

This is the algebra $\underline{\text{end}} A_q^{n|0}$. Defining relations:

column relations: $z_j^l z_i^l = q_{ij} z_i^l z_j^l$ (all $l, i < j$)

cross relations: $q_{ij} z_i^k z_j^l - q_{kl} z_j^l z_i^k = z_j^k z_i^l - q_{ij} q_{kl} z_i^l z_j^k$
($i < j, k < l$)

No "row relations"; even $\underline{\text{end}} A_{q_{ij}=1}^{n|0}$ is non-commutative!



The bialgebra $\underline{\text{end}} \mathcal{A}$

The relations for the generators z_i^j of $\underline{\text{end}} A_{\mathbf{q}}^{n|0}$ are exactly those used by GLZ to define "right quantum matrices"

- GLZ only consider the case $q_{ij} = q$
- They do **not** arrive at these relations via Manin's construction



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\rightsquigarrow "generic right quantum matrix" $Z = (z_i^j)_{n \times n}$

Any algebra map $\varphi: \underline{\text{end}} A_{\mathbf{q}}^{n|0} \rightarrow R$ (" R -point" of the space defined by $\underline{\text{end}} A_{\mathbf{q}}^{n|0}$) yields a right quantum matrix φZ over R



Koszul complexes

For any quadratic algebra \mathcal{A} , one has Koszul complexes

$$\mathcal{K}^{\ell, \bullet}(\mathcal{A}): 0 \rightarrow \mathcal{A}_\ell^! \rightarrow \mathcal{A}_{\ell-1}^! \otimes \mathcal{A}_1 \rightarrow \cdots \rightarrow \mathcal{A}_1^! \otimes \mathcal{A}_{\ell-1} \rightarrow \mathcal{A}_\ell \rightarrow 0$$

for all $\ell \geq 0$; for details see [Manin].



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for all $\ell \geq 0$; for details see [Manin].

Example: For the symmetric algebra $\mathcal{A} = S(V) = A_{q_{ij}=1}^{n|0}$, these are the familiar Koszul complexes

$$\cdots \longrightarrow \wedge^{\ell-i+1}(V) \otimes S^{i-1}(V) \longrightarrow \wedge^{\ell-i}(V) \otimes S^i(V) \longrightarrow \cdots$$



Koszul complexes

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for all $\ell \geq 0$; for details see [Manin].

Lemma 1 *All $K^{\ell, \bullet}(\mathcal{A})$ are complexes of end \mathcal{A} -comodules.*
(PHH & L)



Koszul complexes

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for all $\ell \geq 0$; for details see [Manin].

Def: The quadratic algebra \mathcal{A} is said to be **Koszul** iff the complexes $K^{\ell, \bullet}(\mathcal{A})$ are exact for $\ell > 0$.



Some Koszul facts

- Koszul algebras were introduced by Stewart Priddy in connection with his investigation of Yoneda algebras $\text{Ext}_A(\mathbb{k}, \mathbb{k})$ (Trans AMS, 1970)



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a graded algebra \mathcal{A} is Koszul iff the minimal graded \mathcal{A} -resolution of \mathbb{k} is linear.
- The class of Koszul algebras is quite robust: it is stable under the operations $!$, \otimes , \circ , \bullet , end, \dots



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a graded algebra \mathcal{A} is Koszul iff the minimal graded \mathcal{A} -resolution of \mathbb{k} is linear.
- The class of Koszul algebras is quite robust: it is stable under the operations $!$, \otimes , \circ , \bullet , end, \dots
- A sufficient condition for \mathcal{A} to be Koszul is the existence of a **PBW-basis**.



Some Koszul facts

Example: $A_{\mathbf{q}}^{n|0}$ and right quantum matrices

Recall that $A_{\mathbf{q}}^{n|0}$ is generated x_1, \dots, x_n subject to the relations

$$x_j x_i = q_{ij} x_i x_j \quad \text{for } i < j.$$

The algebra $A_{\mathbf{q}}^{n|0}$ has a \mathbb{k} -basis consisting of the ordered monomials $\mathbf{x}^{\mathbf{m}} = x_1^{m_1} x_2^{m_2} \dots x_n^{m_n}$; this is a PBW-basis.

$\Rightarrow A_{\mathbf{q}}^{n|0}$ is Koszul (and also $A_{\mathbf{q}}^{0|n}$, and $A_{\mathbf{q}}^{n|0} \dots$)



Characters

Notation: \mathcal{B} some bialgebra over \mathbb{k} (later: $\mathcal{B} = \underline{\text{end}} \mathcal{A}$)
 $R_{\mathcal{B}}$ Grothendieck ring of all left \mathcal{B} -comodules
that are finite-dimensional/ \mathbb{k} (or f.g. projective)



Characters

Notation: \mathcal{B} some bialgebra over \mathbb{k} (later: $\mathcal{B} = \underline{\text{end}} \mathcal{A}$)
 $R_{\mathcal{B}}$ Grothendieck ring of all left B -comodules that are finite-dimensional/ \mathbb{k} (or f.g. projective)

In more detail:

- B -comodule $V \rightsquigarrow [V] \in R_{\mathcal{B}}$
- $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$ exact $\rightsquigarrow [V] = [U] + [W]$ in $R_{\mathcal{B}}$
- Multiplication in $R_{\mathcal{B}}$ is given by the tensor product of B -comodules

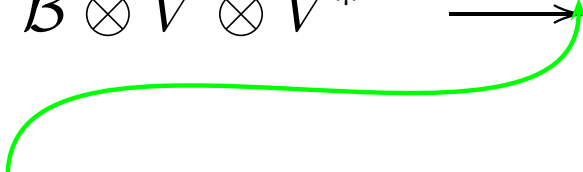


Characters

Def: Let V be a \mathcal{B} -comodule; so have $\delta_V: V \rightarrow \mathcal{B} \otimes V$
Consider the map

$$\mathrm{Hom}_{\mathbb{k}}(V, \mathcal{B} \otimes V) \cong \mathcal{B} \otimes V \otimes V^* \xrightarrow{\mathrm{Id}_{\mathcal{B}} \otimes \langle \cdot, \cdot \rangle} \mathcal{B} \otimes \mathbb{k} \cong \mathcal{B}$$

evaluation $V \otimes V^* \rightarrow \mathbb{k}$





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The image of δ_V under this map will be denoted by χ_V and called the **character** of V .



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Explicitly: If $\delta_V(v_j) = \sum_i b_{i,j} \otimes v_i$ for some \mathbb{k} -basis $\{v_i\}$ of V then

$$\chi_V = \sum_i b_{i,i}$$



Characters

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Lemma 2 *The map $[V] \mapsto \chi_V$ yields a well-defined ring homomorphism $\chi: R_{\mathcal{B}} \rightarrow \mathcal{B}$.*



MMT for Koszul algebras

Recall the modern interpretation of the original MMT:

$$1 = \left(\sum_{d=0}^n \text{trace}(\bigwedge^d A) (-t)^d \right) \cdot \left(\sum_{d=0}^{\infty} \text{trace}(S^d A) t^d \right)$$

for any $n \times n$ -matrix A over some commutative ring



MMT for Koszul algebras

Here is the version for Koszul algebras:

Theorem 1 *Let \mathcal{A} be a Koszul algebra and $\mathcal{B} = \underline{\text{end}} \mathcal{A}$.
(PHH & L) Then the following identity holds in $\mathcal{B}[[t]]$:*

$$1 = \left(\sum_{m \geq 0} \chi_{\mathcal{A}_m^!} (-t)^m \right) \cdot \left(\sum_{\ell \geq 0} \chi_{\mathcal{A}_\ell} t^\ell \right)$$



MMT for Koszul algebras

Proof: By Lemma 1, the (exact) Koszul complexes

$$K^{\ell, \bullet}(\mathcal{A}): 0 \rightarrow \mathcal{A}_\ell^! \rightarrow \mathcal{A}_{\ell-1}^! \otimes \mathcal{A}_1 \rightarrow \cdots \rightarrow \mathcal{A}_1^! \otimes \mathcal{A}_{\ell-1} \rightarrow \mathcal{A}_\ell \rightarrow 0$$

give equations in $R_{\mathcal{B}}$:

$$\sum_i (-1)^i [\mathcal{A}_i^! \otimes \mathcal{A}_{\ell-i}] = 0 \quad (\ell > 0)$$



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give equations in $R_{\mathcal{B}}$:

$$\sum_i (-1)^i [\mathcal{A}_i^! \otimes \mathcal{A}_{\ell-i}] = 0 \quad (\ell > 0)$$

Defining $P_{\mathcal{A}}(t) = \sum_i [\mathcal{A}_i] t^i$, $P_{\mathcal{A}^!}(t) = \sum_i [\mathcal{A}_i^! \otimes \mathcal{A}_{\ell-i}] t^i \in R_{\mathcal{B}}[[t]]$, this becomes

$$1 = P_{\mathcal{A}^!}(-t) \cdot P_{\mathcal{A}}(t)$$



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$$1 = P_{\mathcal{A}^!}(-t) \cdot P_{\mathcal{A}}(t)$$

Now apply the ring homomorphism $\chi[[t]]: R_{\mathcal{B}}[[t]] \rightarrow \mathcal{B}[[t]]$. QED



Garoufalidis, Lê and Zeilberger's qMMT is **exactly** the special case of Theorem 1 where $\mathcal{A} = A_q^{n|0}$

Spelled out in detail . . .

(multiparameter version)



Notation:

(as before)

$$\mathcal{A} = A_{\mathbf{q}}^{n|0} = \mathbb{k}[x_i \mid i = 1, \dots, n]$$

$$\mathcal{B} = \underline{\text{end}} A_{\mathbf{q}}^{n|0} = \mathbb{k}[z_i^j \mid i, j = 1, \dots, n]$$

$$Z = (z_i^j)_{n \times n}$$

Further,

$$\det_{\mathbf{q}}(Z) = \sum_{\pi \in \mathfrak{S}_n} w(\pi) z_{\pi 1}^1 z_{\pi 2}^2 \cdots z_{\pi n}^n$$

is the multiparameter **quantum determinant** as defined by [AST], with $w(\pi) = \prod_{i < j, \pi i > \pi j} (-q_{\pi j, \pi i})^{-1}$

Finally, for each $J \subseteq \{1, \dots, n\}$, I will write $Z_J = (z_i^j)_{i, j \in J}$.



Theorem 2 (q MMT) In $\mathcal{B} \otimes \mathcal{A} = \bigoplus_{\mathbf{m} \in \mathbb{Z}_{\geq 0}^n} \mathcal{B} \otimes \mathbf{x}^{\mathbf{m}}$ put

$X_i = \sum_j z_i^j \otimes x_j$ and define $G(\mathbf{m})$ to be the \mathcal{B} -coefficient of $\mathbf{x}^{\mathbf{m}}$ in $X_1^{m_1} X_2^{m_2} \dots X_n^{m_n}$. In $\mathcal{B}[[t]]$ put

$$\text{Bos}(Z) := \sum_{l \geq 0} \sum_{|\mathbf{m}|=l} G(\mathbf{m}) t^l$$

$$\text{Ferm}(Z) := \sum_{m \geq 0} \sum_{\substack{J \subseteq \{1, \dots, n\} \\ |J|=m}} \det_{\mathbf{q}}(Z_J) (-t)^m$$

Then:

$$\text{Bos}(Z) \cdot \text{Ferm}(Z) = 1$$



In view of Theorem 1, the **proof** of Theorem 2 amounts to two character calculations:

$$\bullet \chi_{\mathcal{A}_\ell} = \sum_{|\mathbf{m}|=\ell} G(\mathbf{m})$$

$$\bullet \chi_{\mathcal{A}_m^*} = \sum_{\substack{J \subseteq \{1, \dots, n\} \\ |J|=m}} \det_{\mathbf{q}}(Z_J)$$

Both are easy.



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- Zeilberger has also written Maple programs QuantumMACMAHON and qMM that verify **qMMT**
(available on Zeilberger's web page)
- Independent work by **Foata & Han** gives an alternative new proof of **qMMT** using combinatorics on words. They also analyze the algebra of right quantum matrices in detail and give various modifications of **qMMT**
(3 preprints, December 2005, available on arXiv)



Recent developments

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- Jointly with Benoit Kriegk (Saint-Étienne) and Phùng Hô Hai, I am currently writing up an extension of the foregoing to " N -homogeneous Koszul superalgebras".

