

## A COVERING LEMMA

CRISTIAN E. GUTIÉRREZ

FEBRUARY 11, 2009

**Definition 1.** Let  $X$  be a set. A partial order in  $X$  is a relation  $\leq$  such that

- (1)  $x \leq x \forall x \in X$ ;
- (2) if  $x \leq y$  and  $y \leq x \Rightarrow x = y$ ;
- (3) if  $x \leq y$  and  $y \leq z \Rightarrow x \leq z$ .

We say  $(X, \leq)$  is a set partially ordered.

**Definition 2.** Let  $(X, \leq)$  be partially ordered. The subset  $Y \subset X$  is a chain or totally ordered if  $\forall x, y \in Y$  then either  $x \leq y$  or  $y \leq x$ . An upper bound of  $Y$  is an element  $u \in X$  such that  $y \leq u$  for all  $y \in Y$ .

**Theorem 3** (Zorn's lemma). <sup>1</sup> Let  $(X, \leq)$  be partially ordered and suppose that every chain in  $X$  has an upper bound. Then  $X$  has a maximal element, that is, there exists  $m \in X$  such that if  $m \leq x$ , then  $x = m$ .

**Corollary 4.** Let  $C$  be a family of balls in a quasi metric space<sup>2</sup>. Then there exists a subfamily  $\mathcal{F}$  of pairwise disjoint balls that is maximal, that is, if  $B \in C \setminus \mathcal{F}$ , then there exists  $B' \in \mathcal{F}$  such that  $B \cap B' \neq \emptyset$ .

*Proof.* Define the class

$$X = \{\{B_\alpha\}_{\alpha \in I} : B_\alpha \in C \text{ and } B_\alpha \text{ are all disjoint}\},$$

that is,  $X$  is the collection of all families of balls from  $C$  that are pairwise disjoint. We define: if  $\mathcal{F}_1, \mathcal{F}_2 \in X$  then  $\mathcal{F}_1 \leq \mathcal{F}_2$  iff  $\mathcal{F}_1 \subset \mathcal{F}_2$ .  $(X, \leq)$  is a set partially ordered.

---

<sup>1</sup>Zorn's lemma is equivalent to the axiom of choice.

<sup>2</sup>The triangle inequality holds with a constant, that is,  $d(x, y) \leq K(d(x, z) + d(z, y))$ .

Let  $Y \subset X$  be a chain and let  $\mathcal{F} = \cup_{\mathcal{F}' \in Y} \mathcal{F}'$ . It is clear that  $\mathcal{F}$  is an upper bound for  $Y$ , we only have to prove that  $\mathcal{F} \in X$ . Let  $B, B' \in \mathcal{F}$  with  $B \neq B'$ . Then  $B \in \mathcal{F}'$  and  $B' \in \mathcal{F}''$ , with  $\mathcal{F}', \mathcal{F}'' \in Y$ . Since  $Y$  is a chain, either  $\mathcal{F}' \leq \mathcal{F}''$  or  $\mathcal{F}'' \leq \mathcal{F}'$ . In the first case  $B, B' \in \mathcal{F}''$  and in the second case  $B, B' \in \mathcal{F}'$ , so, in any case,  $B \cap B' = \emptyset$ . Therefore by Zorn's lemma,  $X$  has a maximal element  $\mathcal{F}_0$  and the corollary is proved.  $\square$

We can now prove the following covering lemma.

**Lemma 5.** *Let  $C$  be a family of balls in a quasi metric space and suppose that*

$$\sup\{\text{diam}(B) : B \in C\} < \infty.$$

*Then there exists a subfamily<sup>3</sup>  $\mathcal{F} \subset C$  of disjoint balls such that*

$$\bigcup_{B \in C} B \subset \bigcup_{B \in \mathcal{F}} K(4K + 1)B,$$

*where  $\alpha B$  is the ball with the same center as  $B$  and with radius  $\alpha$  times the radius of  $B$ ,  $K$  being the constant in the quasi triangle inequality.*

*Proof.* Let  $d = \sup\{\text{radius}(B) : B \in C\}$  and  $C_j = \{B \in C : d/2^j < \text{radius}(B) \leq d/2^{j-1}\}$  with  $j = 1, 2, \dots$ . Applying Corollary 4 to  $C_1$ , let  $\mathcal{F}_1$  be a maximal subfamily of disjoint balls in  $C_1$ . If the families  $\mathcal{F}_j$  with  $j = 1, \dots, k-1$  have been selected we let

$$\tilde{C}_k = \{B \in C_k : B \cap B' = \emptyset \quad \forall B' \in \cup_{j=1}^{k-1} \mathcal{F}_j\},$$

and once again from Corollary 4, let  $\mathcal{F}_k$  be a maximal subfamily of disjoint balls in  $\tilde{C}_k$ .

We let  $\mathcal{F} = \cup_{j=1}^{\infty} \mathcal{F}_j$  and we shall prove  $\mathcal{F}$  has the desired properties. If  $B \in C$ , then there exists  $j$  such that  $B \in C_j$ . There are two possibilities:  $B \in \tilde{C}_j$  or  $B \notin \tilde{C}_j$ .

(i) if  $B \in \tilde{C}_j$  and since  $\mathcal{F}_j$  is maximal in  $\tilde{C}_j$ , then there exists  $B' \in \mathcal{F}_j$  such that

$$B \cap B' \neq \emptyset.$$

---

<sup>3</sup>If for example the quasi metric space is separable, then every family of disjoint balls is at most countable.

(ii) if  $B \notin \tilde{C}_j$  and since  $B \in C_j$ , then there exists  $B' \in \cup_{k=1}^{j-1} \mathcal{F}_k$  such that  $B \cap B' \neq \emptyset$ . If (i), then  $\text{radius}(B') \geq d2^{-j}$ . If (ii), then  $\text{radius}(B') \geq d2^{-j+1}$ . So in any case, there exists a ball  $B' \in \cup_{k=1}^j \mathcal{F}_k$  such that  $\text{radius}(B') \geq d2^{-j}$  and  $B \cap B' \neq \emptyset$ . We claim that  $B \subset K(4K+1)B'$ . Indeed, if  $B = B_r(x)$  and  $B' = B_{r'}(y)$ , then  $r < 2r'$  and let  $z \in B \cap B'$ . If  $w \in B$ , then  $d(w, y) \leq K(d(w, z) + d(z, y)) \leq K(K(d(w, x) + d(x, z)) + d(z, y)) \leq K(K(4r') + r') \leq K(4K+1)r'$  and we are done.

□

DEPARTMENT OF MATHEMATICS, TEMPLE UNIVERSITY, PHILADELPHIA, PA 19122

*E-mail address:* gutierrez@math.temple.edu