

# THE CENTER OF $U(\mathfrak{sl}_2)$ AND THE BASICS OF ITS QUANTUM ANALOG

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I intend this to merely be an exposition of several well known results. I will loosely follow the treatments of Lang and Kassel. Let us begin with a few basics of  $U(\mathfrak{g})$ .

Given any Lie algebra  $\mathfrak{g}$  we define its *universal enveloping algebra*  $U(\mathfrak{g})$  to be the quotient of the free algebra

$$F(\mathfrak{g}) = \{\text{free algebra generated by } X \in \mathfrak{g}\}$$

by the relations given by the bracket on  $\mathfrak{g}$

$$U(\mathfrak{g}) = \frac{F(\mathfrak{g})}{(XY - YX - [X, Y])}$$

In the case of  $\mathfrak{sl}_2$  (here we mean  $\mathfrak{sl}_2(\mathbb{C})$ , but the same holds true for  $\mathfrak{sl}_2(\mathbb{R})$ .) We have a basis:

$$X_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad X_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

These are subject to:

$$[H, X_+] = 2X_+, \quad [H, X_-] = -2X_-, \quad [X_+, X_-] = H$$

Then  $U(\mathfrak{sl}_2) = \frac{F(X_+, X_-, H)}{\text{relations}}$ .

**Theorem 1.** (*Poincare-Birkhoff-Witt*)

$\{X_+^p H^q X_-^r\}$  for  $p, q, r \geq 0$  integers form a basis for  $U(\mathfrak{sl}_2)$ .

This theorem can be found in many books on Lie algebras so I won't prove it here. However Lang uses an interesting approach to this theorem so let us at least mention it. In Lang's text he proves that the map  $U(\mathfrak{sl}_2) \rightarrow \text{Der}(C^\infty(SL_2))$  is injective by noting that  $\delta_a(x) = [a, x]$  is a derivation.

We shall also use the fact that  $\delta_a$  is a derivative  $\forall a$  when we get to the Casimir element.

Note:  $\delta_a(xy) = x\delta_a(y) + \delta_a(x)y$ .

Furthermore

$$\delta_a(x_1, x_2, \dots, x_n) = \sum_{j=1}^n x_1 \dots \delta_a(x_j) \dots x_n$$

Now that we have some grasp on the basic structure of

$$U(\mathfrak{sl}_2) = \sum_{p, q, r \geq 0} c_{pqr} X_+^p H^q X_-^r$$

**Theorem 2.** *The centralizer of  $H$  is the set of all monomials  $X_+^p H^q X_-^r$*

*Proof.* Consider  $[H, X_+^p H^q X_-^r] = 0$ . Then the claim is that  $p = r$ . Using the standard representation for  $\mathfrak{sl}_2$  we have the following relations:

$$\begin{aligned} X_+^p H^q &= (H - 2p)^q X_+^p & X_-^p H^q &= (H + 2p)^q X_-^p \\ H^q X_+^p &= X_+^p (H + 2p)^q & H^q X_-^p &= X_-^p (H - 2p)^q \end{aligned}$$

Then

$$\begin{aligned} [H, X_+^p H^q X_-^r] &= H X_+^p H^q X_-^r - X_+^p H^q X_-^r H \\ &= X_+^p (H + 2p) H^q Y^r - X_+^p H^q (H + 2r) Y^r \\ &= X^p H^q [2p - 2r] Y^r \\ &= 0 \end{aligned}$$

Which is true  $\Leftrightarrow p = r$ . Thus we conclude:

$$[H, \sum_{p,q,r \geq 0} c_{pqr} X_+^p H^q X_-^r] = 0 \Leftrightarrow p = r$$

for all monomials by linearity of the bracket.  $\square$

Certainly this is a start since  $Z(H) \supseteq Z(U(\mathfrak{sl}_2))$ . We could attempt to compute the centralizers for  $X_\pm$ , but these do not have such a nice form. Furthermore we would only know

$$Z(U(\mathfrak{sl}_2)) \subseteq Z(H) \cap Z(X_+) \cap Z(X_-)$$

we won't necessarily have equality a priori.

Let us now define a unique linear multiplicative homomorphism

$h : Z(H) \longrightarrow \mathbb{C}(H)$  given by:

$$h(X_+^p H^q X_-^p) = \begin{cases} H^q & p = 0 \\ 0 & p > 0 \end{cases}$$

We'll show  $h$  is multiplicative and injective on  $Z(H)$ .  $h(Y)$ , the image of  $Y \in Z(H)$  is characterized by congruence  $Y \equiv h(Y) \pmod{UX_-}$ . Then letting  $Y_1 \equiv h(Y_1) \pmod{UX_-}$  and  $Y_2 \equiv h(Y_2) \pmod{UX_-}$ , we have:

$$Y_2 Y_1 \equiv h(Y_2) h(Y_1) \pmod{UX_- H + UX_-}$$

Using the commutation relations between  $X_-$  and  $H$  and that  $X_-$  is an eigenvector of  $ad(H)$  we get that  $h$  is multiplicative. To show injectivity we need  $h(Y) = 0 \Rightarrow Y = 0$ .

Let  $Y = \sum_q c_q X_+^q H^q X_-^q + \sum_{p>r} c_{pq} X_+^p H^q X_-^p$ , for  $r \geq 1$ . We show  $Y$  can't commute with  $X_-$ . This amounts to picking an irreducible representation with lowest weight  $\lambda$ . Let us denote a vector of weight  $\lambda$  by  $v_\lambda$ . We know for any irreducible representation  $V$  we have the isomorphism  $V \simeq \oplus_{\lambda \in \mathfrak{h}^*} V_\lambda$  and in the case of  $\mathfrak{sl}_2$  we have  $X_\pm : V_\lambda \longrightarrow V_{\lambda \pm 2}$ . We only need to pick a vector  $v_{\lambda+2r}$  so that for  $p > r$   $X_+^p H^q X_-^p$  annihilates  $v_{\lambda+2r}$  and  $X_- v_{\lambda+2r}$ . Then the effect of terms involving  $r^{th}$  powers of  $X_\pm$  has the same effect as  $Y$ . i.e.

$$\left( \sum_q c_q X_+^q H^q X_-^q + \sum_{p>r} c_{pq} X_+^p H^q X_-^p \right) v_{\lambda+2r} = \left( \sum_q c_q X_+^q H^q X_-^q \right) v_{\lambda+2r}$$

Then we have  $Y v_{\lambda+2r} = \mu(r) \sum c_q(\lambda)^q v_{\lambda+2r}$  for  $\mu(r) \in \mathbb{C}^*$ . Applying  $X$  yields a nonzero vector for appropriate  $\lambda$ . However

$$Y E_- v_{\lambda+2r} = 0 \neq E_- Y v_{\lambda+2r}, \quad [Y, E_-] \neq 0$$

Then if  $Y \in Z(U)$  and  $h(Y) = 0 \Rightarrow Y \equiv 0$ .

**Definition 3.** The *Casimir Operator* is  $C = \frac{1}{2}H^2 + (X_+X_- + X_-X_+)$ .

We'll show explicitly that  $[H, C] = [X_+, C] = [X_-, C]$ .

(1)

$$\begin{aligned} [H, C] &= \frac{1}{2}[H, H^2] + [H, X_+X_-] + [X, X_-X_+] \\ &= 0 + (X_+[H, X_-] + [H, X_+]X_-) + (X_-[H, X_+] + [H, X_-]X_+) \\ &= -2X_+X_- + 2X_+X_- - 2X_-X_+ + 2X_-X_+ \\ &= 0 \end{aligned}$$

(2)

$$\begin{aligned} [X_+, C] &= \frac{1}{2}[X_+, H^2] + [X_+, X_+X_-] + [X_+, X_-X_+] \\ &= \frac{1}{2}(H[X_+, H] + [X_+, H]H) + (X_+[X_+X_-] + 0) + (0 + [X_+, X_-]X_+) \\ &= -HX_+ - X_+H + X_+H + HX_+ \\ &= 0 \end{aligned}$$

(3)  $[X_-, C] = 0$  is the same as (2) above.

**Theorem 4.**  $Z(U(\mathfrak{sl}_2)) \simeq \mathbb{C}[t]$  under the homomorphism  $C \mapsto t$ . This is known as the Harish-Chandra homomorphism.

The idea of the proof is to use that  $h$  is injective. Also  $h(C) = H^2$  and we show that only even powers of  $H$  occur in the center of  $U(\mathfrak{sl}_2)$  under the image of  $h$ . We proceed as before this time letting  $Y = \sum_q c_q H^q + \sum_{p \geq 1} X_+^p H^q X_-^p$  and showing  $Yv_\lambda = P(\lambda - 1)v_\lambda$  for some polynomial  $P$  and  $P(-\lambda + 1) = P(\lambda - 1)$  hence  $P$  is even.

One final remark about  $U(\mathfrak{sl}_2)$ . At this juncture we can explicitly give  $Z(H)$ . We know  $Z(H) \supset \mathbb{C}[H]$  and  $Z(H) \supset Z(U(\mathfrak{sl}_2)) = \mathbb{C}[t]$  where  $t$  represents the Casimir operator. It turns out that  $Z(H) \cong \mathbb{C}[t, H]$ . The proof of this statement requires checking some more commutation relations and induction then appealing to the fact that the centralizer of  $H = \{\sum_{p,q} c_{pq} X_+^p H^q X_-^p\}$ .

#### The Quantum Enveloping Algebra of $\mathfrak{sl}_2$

There are two somewhat standard presentations, but we'll use the one which more closely resembles  $U(\mathfrak{sl}_2)$  which is the special case if we allow  $q = 1$ . With the exception of showing the relation between  $U(\mathfrak{sl}_2)$  and  $U_1(\mathfrak{sl}_2)$  we will consider  $q \in \mathbb{C}^*$  not a root of unity.

**Definition 5.** The algebra  $U_q(\mathfrak{sl}_2)$  is generated by 5 elements:  $E, F, K, K^{-1}, L$  with the following relations:

$$\begin{aligned} KK^{-1} &= K^{-1}K = 1 & KEK^{-1} &= q^2E \\ KFK^{-1} &= q^{-2}F & [E, F] &= L \\ (q - q^{-1})L &= K - K^{-1} & [L, E] &= q(EK + K^{-1}E) \end{aligned}$$

and  $[L, F] = -q^{-1}(FK + K^{-1}F)$

We see immediately that if  $q = 1$   $K$  is central and

$$K^2 = 1, \quad E = X_+, \quad F = X_-, \quad L = H$$

So it might behoove us to examine  $U_q(\mathfrak{sl}_2)$  more closely, but that's just a suggestion. It should be noted that the representations of  $U_q(\mathfrak{sl}_2)$  have a very familiar feel in light of  $U(\mathfrak{sl}_2)$ . Let us now attempt to describe  $Z_q := Z(U_q(\mathfrak{sl}_2))$ . Again we exhibit the Casimir operator:

$$C_q = EF + \frac{q^{-1}K + qK^{-1}}{(q - q^{-1})^2} \quad \text{also} \quad C_q = FE + \frac{qK + q^{-1}K^{-1}}{(q - q^{-1})^2}$$

To check this we need only check  $[E, C_q] = [K, C_q] = [F, C_q] = 0$  and then appealing to our defining relations.

- (1) Since  $KEFK^{-1} = EF$  we get  $[K, C_q] = 0$   
(2)

$$\begin{aligned} EC_q &= EFE + E\left(\frac{qK + q^{-1}K^{-1}}{(q - q^{-1})^2}\right) \\ &= EFE + \left(\frac{q^{-1}K + qK^{-1}}{(q - q^{-1})^2}\right)E \\ &= C_qE \end{aligned}$$

- (3)  $F$  is done similarly

**Definition 6.**  $U_q^K$  is the subalgebra of  $U_q(\mathfrak{sl}_2)$  such that  $[K, X] = 0$ ,  $\forall X \in U_q^K$ .

**Lemma 7.**

$$X \in U_q^K \Leftrightarrow X = \sum_{i \geq 0} F^i P_i E^i$$

where  $P_i \in \mathbb{C}[K, K^{-1}]$ .

*Proof.* First  $\{F^i K^l E^j\}_{i, j \geq 0, l \in \mathbb{Z}}$  is a basis for  $U_q(\mathfrak{sl}_2)$ . Now  $K(F^i K^l E^i)K^{-1} = q^{2(j-i)} F^i K^l E^i \Rightarrow$  commutes when  $i = j$ .  $\square$

As before let us define a homomorphism

$$\phi : U_q^K : Z(K) \longrightarrow \mathbb{C}[K, K^{-1}]$$

$$\text{by } \phi(F^i P_i E^i) = \begin{cases} P_i & i = 0 \\ 0 & i \neq 0 \end{cases}$$

This  $\phi$  is the *Harish-Chandra* homomorphism.

Much of the theory follows as before with similar arguments. From here I'll state some results and leave out most of the proofs. For full details see Kassel pp. 130-134.

The Harish-Chandra homomorphism allows us to express the action of  $Z_q$  on the highest weight vector.

**Proposition 8.** *Let  $V_\lambda$  be a highest weight  $U_q(\mathfrak{sl}_2)$  module with weight  $\lambda$ . Then  $\forall z \in Z_q$  and  $v_\lambda \in V_\lambda$  we have  $zv_\lambda = \phi(z)(\lambda)v_\lambda$  where  $\phi(z)$  is a Laurent polynomial in  $K$  with  $\phi(z)(\lambda)$  its value at  $\lambda$ .*

*Example 9.*

$$\phi(C_q) = \frac{qK + q^{-1}K^{-1}}{(q - q^{-1})^2}$$

hence  $C_q$  acts on  $v_\lambda$  by  $C_q v_\lambda = \frac{q\lambda + q^{-1}\lambda^{-1}}{(q - q^{-1})^2} v_\lambda$ .

**Lemma 10.**  $\phi|_{Z_q}$  is injective i.e. if  $z \in Z_q$  then  $\phi(z) = 0 \Rightarrow z = 0$

One last bit of notation let  $\tilde{P}(\lambda) \doteq P(q^{-1}\lambda)$

**Lemma 11.**  $\forall z \in Z_q$  we have  $\phi(z)(\lambda) = \phi(\tilde{z})(\lambda^{-1})$ , providing us a beautiful symmetry condition.

*Example 12.*  $\phi(\tilde{C}_q)(\lambda) = \phi(\tilde{C}_q)(\lambda^{-1})$  as:

$$C_q = EF + \frac{q^{-1}K + qK^{-1}}{(q - q^{-1})^2} = FE + \frac{qK + q^{-1}K^{-1}}{(q - q^{-1})^2}$$

**Lemma 13.** Any  $P \in \mathbb{C}[K, K^{-1}]$  satisfying  $P(\lambda) = P(\lambda^{-1})$  is a polynomial in  $K + K^{-1}$ . Proof is by induction.

**Theorem 14.**  $Z_q \simeq \mathbb{C}[t_q]$  where  $t_q$  represents  $C_q$ . The restriction of  $\phi$  to  $Z_q$  is an isomorphism onto the subalgebra  $\mathbb{C}[qK + q^{-1}K^{-1}] \subseteq \mathbb{C}[K, K^{-1}]$ .

*Proof.* We know  $\phi|_{Z_q}$  is injective. By our previous two lemmas its image is generated by  $qK + q^{-1}K^{-1}$  inside  $\mathbb{C}[K, K^{-1}]$ . Consider  $C_q$ , we know  $\phi(C_q) = \frac{qK + q^{-1}K^{-1}}{(q - q^{-1})^2}$  which shows  $\phi(Z_q)$  is the entire subalgebra and  $C_q$  generates the center.  $\square$

The general idea for considering  $U_q(\mathfrak{g})$  when  $q^n \neq 1 \forall n$  is that much of the theory is the same as  $U(\mathfrak{g})$  we only need to be careful in carrying around our  $q$ 's.

## REFERENCES

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